



OTCFin and Morningstar Provide End-to-End Solution for PRIIPs KIDs and EPT Production

March 7, 2018

New York (USA) and London (UK)— Since 2016, OTCFin and Morningstar have worked together on providing their mutual clients with an end-to-end solution for their PRIIP KIDs and/or EPT needs. The solution consists of PRIIPs data management, calculations and monitoring service provided by OTCFin's team of regulatory data management and financial calculations engine fully integrated with Morningstar's Publishing System (MPS) for KID and EPT production and distribution.

Clients will benefit from a streamlined process whereby the data required for computations would be automatically sourced by OTCFin from Morningstar's database. OTCFin will also integrate directly with the clients' internal systems or Administrators for positions and/or transactions data as needed. Once the calculations are completed, they will be uploaded to Morningstar's MPS platform where they can be reviewed by the client. The client may complete the workflow of populating the document narratives and validating the information to be exported to European PRIIPs Template (EPT) format or to be published and distributed as PRIIPs KIDs. MPS retains an audit trail of all published data for Compliance purposes.

Additionally, OTCFin will perform monthly updates of the calculations and monitor for significant changes which may trigger KID re-publication intra-year. Our mutual clients would be able to export or publish PRIIPs EPTs or KIDs from MPS at any point in time.

"In the last quarter of 2017, our two teams have successfully on-boarded several clients for PRIIP KIDs in as little as 2 weeks. The seamless solution was also proven in this first quarter of 2018 through smooth monthly monitoring and EPT production activities," comments Ee Wah Lim, Head of Product Development at OTCFin. "We are very happy to collaborate with Morningstar in this joint mission to alleviate the burden of complying with the PRIIPs regulation from our mutual clients"

About OTCFin www.otcfin.com

OTCFin is a Financial Technology firm that provides risk and regulatory data management and reporting solutions to buy-side and sell-side clients. Over the past 18 years, the firm has worked closely with its clients to implement enterprise-wide data and analytics integration and reporting processes on its PATOne platform, alleviating their data management burden and enabling them to focus on core business activities. OTCFin PATOne platform helps clients centralize data and analytics from numerous and disparate sources to provide a comprehensive and systematic view of their assets to meet regulatory reporting requirements such as Solvency II, Basel, AIFMD/Form PF/OPERA and PRIIPs KID. The company has offices in New York, Barcelona, New Delhi and representatives in London, Paris and Rome.

About Morningstar, Inc. www.morningstar.com

Morningstar, Inc. is a leading provider of independent investment research in North America, Europe, Australia, and Asia. The company offers an extensive line of products and services for individual investors, financial advisors, asset managers, retirement plan providers and sponsors, and institutional investors in the private capital markets. Morningstar provides data and research insights on a wide range of investment offerings, including managed investment products, publicly listed companies, private capital markets, and real-time global market data. Morningstar also offers investment management services through its investment advisory subsidiaries, with more than \$195 billion in assets under advisement and management as of Dec. 31, 2017. The company has operations in 27 countries.

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